



**MEHTA  
SECURITIES  
LTD.**

**Regd. Office: 2, Law Garden Apt., Scheme 1, Opp. Law Garden, Ellisbridge, Ahmedabad-380006 Ph.: (079)26561000**

**CIN NO: L67120GJ1994PLC022740**

**Date: 20/01/2023**

To,  
BSE Limited  
Department of Corporate Services  
Phiroze Jeejibhoy Towers, Fort,  
Dalal Street, Mumbai-400001

**SCRIP CODE: 511738**

**Sub: SDD Compliance Certificate for the quarter ended on 31<sup>st</sup> December, 2022**

Dear Sir/Madam,

Please find the enclosed SDD Certificate for the quarter ended on 31<sup>st</sup> December, 2022 pursuant to Regulation 3(5) and 3(6) of SEBI( Prohibition of Insider Trading) Regulations (PIT Regulations).

You are requested to take on record.

Thanking You,

For, Mehta Securities Limited



\_\_\_\_\_  
**Mahesh Moteevaras**  
**Company Secretary & Compliance Officer**



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**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED ON 31<sup>ST</sup> DECEMBER, 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Mahesh Moteevaras, Compliance Officer, of Mehta Securities Limited Company Secretary (as decided by the Company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **1(One)** number of events during the quarter ended and has captured **1(one)** number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: N. A.

**For Mehta Securities Limited**

**Mahesh Moteevaras  
Compliance Officer**



Date: 20/01/2023  
Place: Ahmedabad