



**MEHTA
SECURITIES
LTD.**

Regd. Office: 2, Law Garden Apt., Scheme 1, Opp. Law Garden, Ellisbridge, Ahmedabad-380006 Ph.: (079)26561000

CIN NO: L67120GJ1994PLC022740

Date: 18/01/2024

To,
BSE Limited
Department of Corporate Services
Phiroze Jeejibhoy Towers, Fort,
Dalal Street, Mumbai-400001

SCRIP CODE: 511738

Sub: SDD Compliance Certificate for the quarter ended on 31st December, 2023

Dear Sir/Madam,

Please find the enclosed SDD Certificate for the quarter ended on 31st December, 2023 pursuant to Regulation 3(5) and 3(6) of SEBI(Prohibition of Insider Trading) Regulations (PIT Regulations).

You are requested to take the same on record.

Thanking You,

Yours faithfully,

For, Mehta Securities Limited



**Mahesh Moteevaras
Company Secretary & Compliance Officer**

Encl : As Above



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST DECEMBER, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mahesh Moteevaras, Compliance Officer of Mehta Securities Limited am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 01(One) number of events during the quarter ended on 31st December, 2023 and has captured 01(One) number of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NA

For Mehta Securities Limited

(Mahesh Moteevaras)
Compliance Officer



Date: 18.01.2024
Place : Ahmedabad